

## FACSIMILE COVER SHEET

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**JUL 11 2006**

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**TO:** Examiner Jane J. Zara  
Examining Group 1635

**FROM:** Glenn P. Ladwig  
Patent Attorney  
Registration No. 46,853

**COMPANY:** United States Patent and  
Trademark Office

**DATE:** July 11, 2006

**FAX NO.:** 571-273-8300

**NO. OF PAGES**  
**(INCLUDING COVER SHEET):** 11

**SUBJECT/MESSAGE:**

Re: U.S. Patent Application Docket No. USF-T150CX  
CONTROL OF NK CELL FUNCTION AND SURVIVAL BY MODULATION OF  
SHIP ACTIVITY  
Serial No.: **09/955,174**; Date filed: September 19, 2001  
Applicant: Kerr

## Submission to PTO:

1. Supplemental Amendment under 37 C.F.R. 1.111
2. Amendment Transmittal Letter

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Trademark Office on July 11, 2006.

  
Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL AMENDMENT UNDER  
37 C.F.R. §1.111

Examining Group 1635

Patent Application

Docket No. USF-T150CX

Serial No. 09/955,174

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**JUL 11 2006**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Jane J. Zara  
Art Unit : 1635  
Applicant : William G. Kerr  
Serial No. : 09/955,174  
Filed : September 19, 2001  
Confirm. No. : 9411  
For : Control of NK Cell Function and Survival by Modulation of SHIP Activity

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. §1.111

An Amendment under 37 C.F.R. §1.111 was transmitted to the Patent Office on June 28, 2006, accompanied by a Request for Continued Examination under 37 C.F.R. §1.114. It is respectfully requested that the subject application be amended as follows:

07/12/2006 MBINAS 00000039 190065 09955174  
01 FC:2201 300.00 DA

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AMENDMENT TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. USP-T150CX
SERIAL NO. 09/955,174	FILING DATE September 19, 2001	EXAMINER Jane J. Zara	GROUP ART UNIT 1635
INVENTION Control of NK Cell Function and Survival by Modulation of SHIP Activity			<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>JUL 11 2006</b>

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- ☒ Applicant claims small entity status.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

(1)		(2)		(3)	SMALL ENTITY		<u>OR</u>	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 52	MINUS	** 52	0	\$25	\$0.00		\$50	\$0.00
INDEP.	* 13	MINUS	*** 10	3	\$100	\$300.00		\$200	\$0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				0	\$180	\$ 0.00		\$360	\$0.00
					Total addit. fee	\$300.00	<u>OR</u>	Total addit. fee	\$0.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

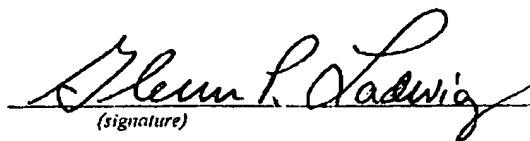
\*\* If the Highest No. Previously Paid For IN THIS SPACE is less than 20, enter "20."

\*\*\* If the Highest No. Previously Paid For IN THIS SPACE is less than 3, enter "3."

The Highest No. Previously Paid For (Total or Indep.) is the highest number found in the appropriate box in Col. 1.

- ☒ Please charge my Deposit Account No. 19-0065 in the amount of \$ 300.00.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0065. Two additional copies of this paper are enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

July 11, 2006  
(date)

  
(signature)

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